

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chau

Serial No.: 10/711,682

Art Unit: 2879

Filed: 9/30/2004

Examiner: P. Macchiarolo

For:High CRI Electroluminescent Lamp

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on

Robert F. Clark Reg. No. 33,853

## **Information Disclosure Statement**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicant herewith submits the publications and/or patents shown in the attached form PTO-1449 for consideration by the Examiner. EP 1605028 is being filed as an English language counterpart to WO 2004/081140. Only the front page of WO 03/080764 (Japanese language) is being filed because of the large number of pages. This is the PCT priority application for U.S. Patent Publication 2004/0135504 and was published (10/2/2003) approximately two months prior to the filing of the U.S. application (11/24/03).

The filing of this disclosure statement shall not be construed as [1] a representation that a search has been made (37 C.F.R. §1.97(g)), [2] an admission that the information cited is, or is considered to be, material to patentability or [3] that no other material information exists.

This statement is being filed before the mailing of a first Office Action on the merits.

Respectfully submitted,

Robèrt F. Clark' Reg. No. 33,853

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OSRAM SYLVANIA Inc.

01/28/2008

Date Considered

PATENT AND TRADEMARK OFFICE  PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE  STATEMENT BY APPLICANT				ATTY. DOCKET NO.  04-2-410 APPLICANT Chau FILING DATE 9/30/2004		10/711 GROUP 2879	.,682
OEM ASK		U.S. P	ATENT DOCUMENTS	S			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING I APPROI	F
/J.H./ /J.H./	2004/0135504 2005/0156496	7/15/2004 7/21/2005	Tamaki et al. Takashima et	313 313	512 237	11/24 9/16/	/2003
			al.				
		FOREIGN	PATENT DOCUMEN	TS			
EXAMINER INITIAL	DOCUMENT NO.	FOREIGN DATE	PATENT DOCUMEN  COUNTRY	CLASS	SUB- CLASS	TRANSI YES	
	NO. EP 1605028 WO	<del></del>					NO X
INITIAL /J.H./	NO. EP 1605028	<b>DATE</b> 12/14/2005	COUNTRY Europe	CLASS C09K	CLASS 11/02		NC
INITIAL /J.H./	NO. EP 1605028 WO	<b>DATE</b> 12/14/2005	COUNTRY Europe	CLASS C09K	CLASS 11/02		NO
/J.H./ /J.H./	NO. EP 1605028 WO 2004/081140  DOCUMENTS (Inc.	DATE  12/14/2005 9/23/2004	COUNTRY  Europe  PCT	CLASS C09K C09K	11/02 11/02	YES	X
INITIAL /J.H./ /J.H./ OTHER	NO. EP 1605028 WO 2004/081140  DOCUMENTS (Inc. 1 WO 03/08076	DATE  12/14/2005 9/23/2004  cluding Auth 4 Al, front	COUNTRY  Europe PCT	CLASS  C09K  C09K  e, Perti /2/2003)	11/02 11/02	YES	X

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/John Hevey/

Examiner